

U.S. Department of Justice
Washington, DC 20530

Supplemental Statement

Pursuant to the Foreign Agents Registration Act of
1938, as amended

For Six Month Period Ending 02/29/2012

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.

PricewaterhouseCoopers LLP

6053

- (c) Business Address(es) of Registrant
-
- 300 Madison Avenue
-
- New York, NY 10017

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

- (1) Residence address(es) Yes
- ☐
- No
- ☐
-
- (2) Citizenship Yes
- ☐
- No
- ☐
-
- (3) Occupation Yes
- ☐
- No
- ☐

- (b) If an organization:

- (1) Name Yes
- ☐
- No
- ☒
-
- (2) Ownership or control Yes
- ☒
- No
- ☐
-
- (3) Branch offices Yes
- ☒
- No
- ☐

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

As of February 1, 2012, there were a total of 2,532 partner and principals. As indicated in PricewaterhouseCoopers LLP original registration, these partners and principals comprise all of the individuals who have voting rights with respect to partnership matters and, they hold 100% of the economic interests in the firm. No individual partner or principal holds more than a 5% interest. Since PricewaterhouseCoopers LLP original registration, partners and principals have retired or withdrew from the firm and new partners and principals were added in the ordinary course of business. However, in the aggregate there has been no material change in ownership or control. Further, over the last six months, PricewaterhouseCoopers LLP has leased additional office space or acquired other entities that had office space. See Attachment 1 for updated list of branch offices.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C
- ¹
- , state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☐If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☒No ☐

If yes, furnish the following information:

Name

Position

Date Connection Ended

See Attachment 2

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☒No ☐

If yes, furnish the following information:

Name

Residence Address

Citizenship

Position

Date Assumed

See Attachment 2

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐No ☒

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name

Residence Address

Citizenship

Position

Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name

Position or Connection

Foreign Principal

Date Terminated

Alan Chu

Partner

Shenyang Municipal Government,
China

August 13, 2011

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐No ☐

If no, list names of persons who have not filed the required statement.

NA

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☒ No ☐
If yes, furnish the following information:

Foreign Principal
Shenyang Municipal Government China

Date of Termination
August 13, 2011

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

NA

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, identify each foreign principal and describe in full detail your activities and services:

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION**14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☐ No ☒

If no, explain why.

As referenced in its original registration statement, PricewaterhouseCoopers LLP was not paid for undertaking the activities identified in the initial registration.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
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Total

(b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☒ No ☐

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
Shenyang Municipal Government	August 12, 2011	Mao Tai Liquor - 2 bottles Chinese Handicraft Knots - 1 box Art of War - 8 books Plaques depicting Shenyang palace - 14 plaques Medallions depicting Shenyang palace - 2 medallions	These items were provided to the PwC partners and staff who assisted at this event as a courtesy gesture.

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

As detailed in its original registration statement, PricewaterhouseCoopers LLP was not paid for undertaking the activities identified nor were monies expended beyond what was indicated in the original registration.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
------	---------	---------	--------

Total

(b) **DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☒

No ☐

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
See Attachment 3				

(c) **DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☒

No ☐

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
See Attachment 4			

10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☐ No ☒

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions,
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

February 27, 2012

/s/ Alan Chu, Partner

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

PwC LLP Attachment 1, Supplemental Statement Question 2.b.3 Updated List of PwC LLP Branch Offices

New Offices Located with an Asterisk

Birmingham, Alabama
Montgomery, Alabama
Phoenix, Arizona
Springdale, Arkansas
Little Rock, Arkansas
Bentonville, Arkansas*
Irvine, California
Los Angeles, California
Sacramento, California
San Diego, California
San Francisco, California
San Jose, California
Denver, Colorado
Hartford, Connecticut
Stamford, Connecticut
Fort Lauderdale, Florida
Jacksonville, Florida
Miami, Florida
Orlando, Florida
Tampa, Florida
West Palm Beach, Florida
Atlanta, Georgia
Chicago, Illinois
Peoria, Illinois
Rosemont, Illinois*
Indianapolis, Indiana
Lexington, Kentucky
Louisville, Kentucky
New Orleans, Louisiana
Baltimore, Maryland
Boston, Massachusetts
Cambridge, Massachusetts
Detroit, Michigan
Grand Rapids, Michigan
Minneapolis, Minnesota
Kansas City, Missouri
St. Louis, Missouri
Las Vegas, Nevada
Florham Park, New Jersey
Jersey City, New Jersey
Albany, New York
Buffalo, New York
Melville, New York
New York, New York
Rochester, New York
Charlotte, North Carolina
Greensboro, North Carolina
Raleigh, North Carolina
Cincinnati, Ohio
Cleveland, Ohio
Columbus, Ohio
Toledo, Ohio
Tulsa, Oklahoma

Portland, Oregon

Harrisburg, Pennsylvania

Philadelphia, Pennsylvania

Pittsburg, Pennsylvania

San Juan, Puerto Rico*

Spartanburg, South Carolina

Columbia, South Carolina*

Franklin Tennessee

Austin, Texas

Dallas, Texas

Fort Worth, Texas

Houston, Texas

Salt Lake City, Utah

Ogden, Utah

Montpelier, Vermont

McLean, Virginia

Falls Church, Virginia*

Richmond, Virginia

Seattle, Washington

Washington, DC

Milwaukee, Wisconsin

PwC LLP Attachment 2- Supplemental Statement, Question 4.a and 4.b

As of February 1, 2012, there were a total of 2,532 partner and principals. As indicated in PricewaterhouseCoopers LLP original registration, these partners and principals comprise all of the individuals who have voting rights with respect to partnership matters and, they hold 100% of the economic interests in the firm. No individual partner or principal holds more than a 5% interest. Since PricewaterhouseCoopers LLP original registration, partners and principals have retired or withdrew from the firm in the ordinary course and new partners and principals were admitted. However, in the aggregate there has been no material change in ownership or control.

PwC LLP Attachment 3- Supplemental Statement, Question 15.b, Disbursements - Things of Value

PricewaterhouseCoopers LLP purchased 31 calendars, each costing \$41 each. These calendars were displayed on a table at the August 12 conference that was described in the PricewaterhouseCoopers LLP initial registration. The calendars were provided as a gesture of hospitality to the Shenyang Municipal Government delegates. Only 19 calendars were taken by delegates. PricewaterhouseCoopers is not aware of which specific delegates took the calendars. The remaining calendars were provided to PwC staff and partners who assisted with the conference.

PwC LLP Attachment 4, Supplemental Statement, Question 14(c)**DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During the period beginning 60 days prior to the date of your obligation to register to the time of this statement, did you, the registrant, or any short form registrant, make any contribution of money or other thing of value from your own funds and on your own behalf in connection with an election to any political office or in connection with any primary election, convention, or caucus held to select candidates for any political office?

PwC LLP Political Contributions: August 9, 2011-February 1, 2012**California Contributions***

DATE	RECIPIENT	AMOUNT
~08/09/2011	Felipe Fuentes Reform CA Ballot Measure	\$1,500.00
~08/09/2011	Assemblyman Cedillo Officeholder Committee 2010	\$2,000.00
~08/09/2011	Leland Yee for Senate 2010	\$1,500.00
~08/09/2011	Lou Correa for Attorney General 2018	\$1,500.00
~08/09/2011	Steinberg for Lieutenant Governor 2018	\$1,500.00
~08/09/2011	John A. Perez for Assembly 2012	\$1,500.00
~08/09/2011	Price for Senate 2014	\$1,500.00
~08/09/2011	Taxpayers for Rod Wright 2012	\$1,500.00
~08/09/2011	Bob Dutton for Assembly 2012	\$1,500.00
~08/09/2011	Adam Gray for Assembly 2012	\$1,000.00
~08/09/2011	CA 2020 Senator Alex Padilla Ballot Measure Committee	\$1,500.00
~08/09/2011	Connie Conway for Assembly 2012	\$1,500.00
~08/09/2011	Raul Bocanegra for Assembly 2012	\$1,000.00
~08/10/2011	Charles Calderon for Assembly 2010 Officeholder	\$ 700.00
~08/10/2011	Taxpayers for Bob Huff for Senate	\$1,250.00
~08/10/2011	Diane Harkey for Assembly 2012	\$1,000.00
~08/10/2011	Henry T. Perea for Assembly 2012	\$1,500.00
~08/10/2011	Jim Beall for Senate 2012	\$1,000.00
~08/10/2011	Rich Gordon for Assembly 2012	\$1,000.00
~08/10/2011	Tim Donnelly for Assembly 2012	\$1,250.00
~08/10/2011	Jean Fuller for Senate 2014	\$1,000.00
~08/10/2011	The Doug Lamalfa Committee Senate 2014	\$1,000.00
~08/11/2011	Dr. Ed Hernandez OD for Senate 2014	\$1,000.00
~08/23/2011	Asian American Education Instit.	\$2,500.00
~10/11/2011	California Legislative Black Caucus Policy Institute	\$2,500.00
~10/17/2011	California Republican Party	\$2,500.00
~10/17/2011	Re-Elect Attorney General Kamala Harris 2014	\$5,000.00
~11/17/2011	Rubio for Senate 2014	\$1,000.00
~11/17/2011	Felipe Fuentes Reform CA ballot Measure	\$1,000.00
~11/17/2011	Curt Hagman for Assembly 2012	\$1,000.00
~11/17/2011	Harkey for Assembly 2012	\$1,000.00
~11/17/2011	Friends of Mimi Walters for Senate	\$1,000.00
~11/17/2011	Mike Eng for Senate 2014	\$1,000.00
~11/17/2011	Prosperity for California	\$1,000.00

~11/21/2011	Ron Calderon for Senate 2010 Officeholder	\$1,000.00
~11/21/2011	Juan Vargas for Senate 2010	\$1,000.00
~11/21/2011	Toni Atkins for State Assembly 2012	\$1,000.00
~11/22/2011	Assemblyman Cedillo Officeholder Committee 2010	\$2,000.00 (check voided)
~12/02/2011	Greater Los Angeles African American Chamber of Commerce (Sponsorship)	\$5,000.00
~12/21/2011	Nora Campos for Assembly 2012	\$1,000.00

Contributions to CPA Societies

~12/2011	Florida CPA Society	\$10,000.00
~12/2011	Virginia CPA Society	\$2,500.00

* Includes contributions allocated to individual partners or principals.

NOTE: The contributions listed in this attachment were contributions by PwC LLP. Information about contributions made by the PwC Political Action Committee may be found at www.fec.gov.